NMR and Molecular Modeling Study of the Conformations of Taxol and of its Side Chain Methylester in Aqueous and Non-Aqueous Solution.

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Abstract: The conformations of the antimitotic agent taxol and its side chain methyl ester have been studied by *NMR-spectroscopy and molecular modeling in hydrophobic (CDCl3) and hydrophilic (water, d₆-DMSO) solvents. For tk si& chain methly ester (4). tk covqdittg constant Jm_~3,* **changes** from *-2 Hz ia chior@orm to -5 Hz in d₆-DMSO or water : d₆-DMSO, 1 : 1 (v/v). The conformational equilibrium for 4 thus shifts from one favorin& conformers with a* **gauche** *cH-C2'-C3'-Ii> torsion angle (chloroform)). to one predominantly 4* conformers having this torsion angle anti. In the case of taxol, JH2'.H3' changes from 2.7 Hz in CDCl3 to -8 Hz in water, water - sodium dodecyl sulfate (SDS) and/or d6-DMSO. Again, gauche conformations are *implicated in chloroform, but molecular modeling suggests the anti conformer 27 to be dominant in aqueous* **m&a and in d@hfSO. No nuclear Owrhauser #ects** (nOets) between *tk si& chain tmd tk tanme ring-system are observed in chloroform solution. In water and/or &-DMSO, howcwr. nOe*s ktween tk si& chain (Ph3'and H2'*) and the OAc4 methyl group are detected.

Taxol¹ 1, a diterpenoid natural product of the Pacific yew (Taxus *brevifolia* Nutt.) and related yew species, is rapidly developing into the most important antitumor chemotherapeutic agent to reach clinical application in some time. Highly encouraging phase II activities against drug refractory ovarian² and breast³ carcinomas have been observed. Taxol is not only structurally unusual among cytotoxic drugs, but operates through a unique mechanism at the cellular level whereby it binds to microtubules, promotes their assembly from tubulin heterodimers, and stabilizes them against depolymerization. Classical antimitotic substances such as vinblastine and colchicine affect the tubulin-microtubule system in essentially the opposite way. The resulting abnormal tubulin-microtubule equilibrium disrupts the normal mitotic spindle apparatus, which underlies the ability of taxol to block cell proliferation at the tetraploid G_2 -M phases of the cell cycle.⁴ Thus, taxol offers new structural and mode-of-action leads that promise to form the basis of a distinct class of antineoplastic drugs, which now includes the taxol analogs Taxotere^{®,5} 2 and cephalomannine 3.

One aim of current chemical research in this area is the determination of the structural features of the apparently non-covalent⁴ taxol-microtubule interaction. This recognition phenomenon might then be rationally exploited in the subsequent design of next-generation drugs. Photoaffmity labeling studies have indicated that the tubulin β chain plays a major role in the taxol binding regions,⁶ although much work remains before a detailed picture will emerge of the elements of tubulin and microtubules that are involved in tax01 binding. Another component of this problem is the definition of the bound conformations of taxoi, an effort that might sensibly be preceded by the determination of its unbound conformations of taxol in hydrophilic and hydrophobic media.⁷ A model compound study for ring A side chain was included because most conformational changes occur in this non-rigid portion of the molecule, models were necessary for conformer elucidation, and preliminary structure-activity correlation studies indicate that subtle changes in side chain functionality or stereochemistry profoundly affect activity. 4

Apart from recent NMR investigations 8 of taxol in hydrophobic media that have provided data relevant to the solution conformations of taxol, detailed structural information has been restricted to the X-ray crystallographic structures of Taxotere, \mathcal{P}^{9} of the taxol A-ring side chain methyl ester 4,¹⁰ and of the cephalomanine A-ring side chain methyl ester.¹¹ An X-ray crystallographic structure for taxol itself remains unavailable. In an attempt to fill this gap, we wish to report ¹H-NMR and molecular modeling studies of tax01 and its A-ring side chain methyl ester 4 in a series of aqueous and non-aqueous solvent systems that lead to important conclusions regarding their conformational preferences.

EXPERIMENTAL

Source of chemicals studied. Tax01 was obtained from Dr. Matthew Suffness, National Cancer Institute, National Institutes of Health. A combination of the Greene^{12b} and Jacobsen^{12f} procedures was employed for the preparation of $4.1²$ Model compounds N-benzyl benzamide 5, l-(N-benzoyl-2aminobutyl)benxoate 6 and I-N-benzoyl-2-aminobutanol7 were prepared from benzoyl chloride and the corresponding amines 13 and purified by thin layer chromatography. For convenience and in order to simplify the comparison of the NMR-parameters, the same numbering system as for the taxol side chain was used for compounds 4 - 7.

NMR studies. ¹H-NMR spectroscopy was performed either at 500 MHz on a Varian Unity 500 equipped with a Sun data system or a Bruker AM-500 with ASPECT 3000 data system, or at 300 MHx on a Bruker WM-300 spectrometer with ASPECT 2000 data system. Spectra were taken at roomtemperature unless stated otherwise. $\frac{1}{1}H$ -chemical shifts are referenced relative to the solvent peak, i.e. chloroform for the CDCl₃-sample (δ (CHCl₃) = 7.24 ppm), water for the SDS-sample (δ (HDO) = 4.63 ppm), dimethylsulfoxide for all DMSO-containing samples $(\delta(d_5\text{-}DMSO) = 2.49 \text{ ppm})$.

lD-Spectra were taken with 32k data points (0.2 Hz/point), while the 2D-experiments were performed with matrix-sixes of lk x lk (COSY) or 2k x 2k (NOESY). NOESY mixing times were 600,800 and 1000 msec.

Choice of Solvents. One of the major inconveniences for both clinical and spectroscopic studies of tax01 is its virtually complete lack of solubility in the most interesting solvent for such purposes, water. Solubility can be substantially enhanced, however, through addition of either perdeutero sodium dodecyl sulfate $(d_{25}$ -SDS) or hexadeuterodimethylsulfoxide $(d_{6}$ -DMSO). We find that a mixture of d_{6} -DMSO : D₂O, 1 : 1 (v/v) is the lower limit for dissolving sufficient material (~500 µg) to obtain the necessary sensitivity for 2D-NMR experiments. Use of 60% and 70% d6-DMSO further increases the solubility and allows conclusions to be drawn on the effects of the two solvents on conformation. Non-aqueous solvents used are d6-DMSO and CDCl3, in both of which taxol and 4 are readily soluble.

Molecular modeling. Internal **coordinate** Monte Carlo conformational searching14 was catried out on a Silicon Graphics 4D/35 workstation using MacroModel¹⁵ (version 3.1) with the included version of the MM2 force field¹⁶ and the Still chloroform and water continuum solvation models¹⁷ (as indicated below). The inspection and analysis of the conformational data were facilitated by the use of SYBYL (version 5.5). All taxane starting structures were generated from the Taxotere[®] crystal structure coordinates.9

The treatment of the taxol side chain methyl ester was straightforward and used the default MacroModel search parameters. Since complete searches of conformational space are impossible given the currently available technology, it is necessary to evaluate the search results to ensure that the *resulting conformations are representative* of the low energy conformations available to the structure examined. This is generally accomplished by determining the number of times the lower energy structures are found during the search. For the side chain methyl ester, adequate redundancy was accomplished easily using 1000 initial structures as specified in the MacroModel &fault parameters. Preliminary conformational searches for the complete taxol structure indicated that a fully unconstrained search would be prohibitively time-consuming. Therefore, an unconstrained search (without solvation) of 13-acetyl baccatin III (acetyl group instead of the A-ring side chain acyl group in the taxol structure) was performed to gauge the flexibility of the carbon skeleton. No conformations that differed significantly from the global minimum were found within several kcal-mol⁻¹. Subsequent searches for the complete tax01 structure were carried out with variable torsion angles in the A-ring side chain, the OAc4 group, and in the C-ring to account for the possibility of oxetane-induced half-chair flexibility. The A and **B**rings were not explicitly varied (in the determination of new conformations) but were allowed to relax during the subsequent energy minimizations, as were the remaining side chains on the B and C-rings.

The conformational searches produced large numbers of conformations, many of which were closely related (differing only by rotations of the 3'-phenyl ring, for example). The examination of the search results, as well as chemical intuition, indicated that the key side chain torsion angles about C3'-C2', C2'-Cl' and O-Cl3 were responsible for the overall relationship of the side chain to the remainder of the taxol structure. To divide the results into manageable sets, the unique low energy structures were used as templates and higher energy structures were assigned to a "cluster" based on the similarity of their key torsion angles to those of a particular low energy template. This was justified by the relatively narrow ranges within which these torsion angles fell. A clustering range of $\pm 60^{\circ}$ was found to be the most convenient, yielding sets of structures that had the same overall characteristics but excluding those that were grossly different. Smaller ranges gave more sets of structures, however *many* had essentially identical torsional characteristics. This procedure yielded for taxol side chain methyl ester 4 six chloroform solvation (8-13) and six water solvation (14-19) fundamental conformational types and for taxol 1 five chloroform solvation $(20-24)$ and four water solvation $(25-28)$ fundamental conformational types within 2 kcal.mol⁻¹ of the respective global minima.¹⁸ Since molecular mechanics methods are not expected to make fiie energetic distinctions among conformers, the relative energies or these trial structures are not highly significant.

RESULTS

The Taxol A-Ring Side Chain Methyl Ester (4)

As a first step towards the conformational study of taxol, the ${}^{1}H$ -NMR spectra of the taxol side chain methyl ester 4 were recorded for data comparison. The ${}^{1}H$ chemical shifts and coupling constants in deuterochloroform, water - d_6 -DMSO, 1 : 1 (v/v) and d_6 -DMSO are reported in Tables 1 and 2, respectively.

The data most relevant for discussion of conformation are the coupling constants $J_{H2' H3'}$ and $J_{H3'}$. NH. Inspection of Table 2 shows that the latter is essentially independent of the solvent, having a value of -9 Hz in each of the solvents studied. The coupling constant between H2' and H3', however, doubles its value on passing from CDCl₃ $(J_{H2'-H3'} = 2.1 \text{ Hz})$ to water - d₆-DMSO, 1 : 1 (v/v) or d_6 -DMSO (J_{H2'-H3}' = 4.7 Hz or 5.5 Hz).

Table 1. tH NMR Chemical Shifts for the **Table 2.** IH-lH Coupling Constants for Taxol Side Chain Methyl Ester 4 in the Taxol Side Chain Methyl Ester 4 in Deuterochloroform and Water - d₆-DMSO, Deuterochloroform, Water - d₆-DMSO, $5:5$ (v/v) and d_6 -DMSO (δ ; ppm). $5:5$ (v/v) and d_6 -DMSO (J ; Hz).

 \cdot in D₂O.

b. buried under solvent peak.

 $c.$ in H₂O.

 d at -20 $^{\circ}$ C.

e. not observed. f. small $(< 1.5$ Hz)

Regardless of the solvation treatment employed, molecular modeling identifies the same low energy conformational types for this structure. These are summarized in Tables 3 and 4. There appear computationally to be no very well defined torsional preferences about the N-C3' bond, an observation that may be related to the apparently rotationally-averaged J_{NH-H3}[,] values detected regardless solvent.

Table 3. Chloroform Conformational **Types** for 4.

L The couplings coartants were calculated with the Karplus relationship implemented in MacroModel.

Table 4. Water Conformational Types for 4.

conformer	[deg]	<n-c3'-c2'-o> <o-c2'-c1'=o> calcd. $J_{H2' \cdot H3'}$ [deg]</o-c2'-c1'=o></n-c3'-c2'-o>	THz I	relative energy $[kcal/mol-1]$
14 15 16 17	-67 56 176 177	-2 12 -177	1.6 10.4 3.9 4.2	0.4 0.5 0.5
18 19	-64 53	-171 -167	1.2 10.6	0.9 2.0

L The couplinga constants were calculated with the Karplus relationship implemented in MacroModel.

This conclusion is confirmed by the values of J_{NH-H3} observed for three model compounds (5, 6, and 7), for which it is reasonably safe to assume free rotation around the N-C3' bond (Table 5). The low value observed for N-benzyl benzamide 5 (5.6 **Hz)** is due to the absence of the electronegative substituent. In 6 and 7, the J_{NH+H3} values are increased to 8.3 and 8.1 Hz, respectively. These values **am** in reasonable agreement with the 9 **Hz** observed for 4, especially since the presence of the supplementary methoxycarbonyl group is likely to further increase the coupling constant in the latter and in taxol.

Table 5. *J_{NH-H3}* data for compounds 5, 6 and 7 in CDCl₃ (Hz).

In chloroform, the small 2.1 Hz $J_{H2'-H3}$ value allows conformational types 11 and 13 with large cH-C2'-C3'-H> torsion angles to be rejected. Chloroform solvation search global minimum 8 has essentially the same conformational characteristics as the Taxotere, Φ taxol side chain methyl ester 4, and cephalomanine side chain methyl ester X-ray crystallographic structures. Indeed, the simplest description of the chloroform solution structure of 4 consistent with the computational and NMR evidence is that conformer 8 is the major contributor. Conformer 8 is organized by a hydrogen bond between the OH2' and the ester carbonyl oxygen, and one between the NH and OH2' oxygen. An independent study of 4 in chloroform solution employing vibrational circular dichroism (VCD), a technique sensitive to chiral environments near hydrogen bonds and operative over a shorter spectroscopic time scale (thus sensitive to torsion about N-C3'), has arrived at this conclusion.¹⁹

In aqueous and neat d_6 -DMSO, the full range of conformational types $14 - 19$, including $-H-C2'-C3'-H>$ *anti* conformations like 15, is allowed by the larger values of \sim 5 Hz observed for J_{H2}. H3', and the inability of the NMR data to provide information on torsion about the Cl'-C2' bond. Again, a conformation essentially equivalent *to* that observed in the X-ray crystallographic studies appears as the global minimum (14) in the water solvation search for 4.

Taxol

The chemical shift data and coupling constants for taxol in the different solvent systems are assembled in Tables 6 and 7, respectively.

Deuterochforoform. Our assignments in this solvent are in complete agreement with an earlier report.^{8a} The three most deshielded signals, beside those of the aromatic moieties, are the NH doublet at 6.91 ppm, the HlO singlet at 6.20 ppm and the H13 triplet at 6.17 ppm.

Connectivities are easily established from the COSY-spectra. The amide proton NH is coupled to H3' (5.72 ppm, $J = 8.9$ Hz) which also shows a correlation to H2' (4.73 ppm, $J = 2.7$ ppm). H13 exhibits couplings to both of the H14 protons (2.32 and 2.25 ppm), as well as to the methyl-protons Mel8 (1.77 ppm).

Table 6. tH NMR Chemical Shifts for Tax01 in Different Solvent Table 7. lH-lH Coupling Constants for Tax01 in Different Systems (6 ; **ppm). Solvent Systems (Hz).** Table 6. ¹H NMR Chemical Shifts for Taxol in Different Solvent Systems (δ ; ppm).

b. buried under solvent peak.

* inD20. '. in H20.

*. small (< 1.5 Hz)

=. not observed '. no1 determined

Table 7. 1H-1H Coupling Constants for Taxol in Different Solvent Systems (Hz).

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The mutually coupled doublets at 5.61 and 3.73 ppm are attributed to H2 and H3, respectively. Correlations to both the two H6 (2.53 and 1.86 ppm) and the two H20 protons (4.25 and 4.13 ppm) identify the signal at 4.88 ppm as H5. The correlation of H6 establishes H7 at 4.34 ppm.

The methyl groups (Me16, Me17, Mel8 and Me19) could be assigned through their nOe interactions (Figure 1) : the phase sensitive NOESY and ROESY spectra show'cross-peaks between H13 and Me17 (1.23 ppm), while Me16 is identified as the peak at 1.12 ppm through its nOe with H2. The protons giving rise to a signal at 1.23 and 1.77 ppm are near H13 and HlO, identifying them as Mel7 and Me18, respectively. Finally, Me19 at 1.99 ppm is identified through its dipolar coupling with H2 and H5. The acetate groups OAc4 and OAc10 have been previously assigned^{8a} unequivocally using HMBC.

Figure 1. Principal nOe's for taxol 1 in CDCl₃.

&-Dimerhylsurfoxide. Again, the different signals can be easily assigned with the help of COSY and NOESY spectroscopy. The amide NH is the most deshielded proton in the spectrum (8.91 ppm). The network of correlations from NH to H3' (5.29 ppm), from H3' to H2' (4.57 ppm) and from the latter to the hydroxyl-proton OH2' at 6.17 ppm clearly establishes their identities. The characteristic triplet of H13 at 5.87 ppm shows correlations to the two mutually coupled H14 protons (1.88 and 1.58 ppm), as well as to Me18 (1.78 ppm). H5 is coupled to H7 (4.05 ppm) and H6 α (2.31 ppm). The strong correlation between $H6₀$ and $H6₀$, as well as the one between H7 and H6₀, locate the latter at 1.62 ppm.

These assignments are corroborated and completed by the NOESY-data. H13 and H2 show nOe's with the bridgehead methyl groups Me16 and Me17, which are located almost as a single line at 1.01 and 1.00 ppm. The signal of those two methyl groups shows a cross-peak with a sharp singlet at 4.70 ppm, identifying it as the OH1 proton.

NOESY-correlations with H7, H3 and Me18 establish the 6.28 ppm-singlet as HlO. The Me19 methyl protons could be located at 1.49 ppm, as revealed by their dipolar coupling to Hz. They show a further correlation with the tight AB-system of the H20 hydrogens (4.02 and 3.98 ppm).

More interesting, however, are nOe-interactions between some of the side chain protons and the ring system (Figure 2). In fact, while H3' shows a cross-peak to the signal of the (undifferentiated) ortho- and meta-protons of the 3'-phenyl moiety, it also exhibits a nOe correlation with H3. Furthermore, both the H2' and the o - and m-Ph-protons are correlated to the acetate-CH₃ at 2.25 ppm, establishing it as $OAc4$, ²⁰ leaving the signal at 2.10 ppm to be assigned to $OAc10$.

Figure 2. Principal $nOe's$ for taxol 1 in $d₆$ -DMSO.

DMSO-water mixtures. Assignment of the different peaks was achieved in an analogous manner to the DMSO-sample, the spectra being essentially identical. Inspection of Table 6 indicates that the chemical shifts of the different protons are, with the exceptions of the exchanging ones (OH, NH), only very slightly variant with the DMSO-water ratio and are essentially identical to those observed in pure d₆-DMSO solution.

It is especially noteworthy that the side chain coupling constants also appear to be almost invariant in those solvent-systems (7.3 \leq J_{H2}·H₃^{\leq} 7.9 ; 8.0 \leq J_{NH}_{H3}^{\leq} 8.5), suggesting that the conformation should be very similar. Therefore, based on the J data, taxol in d₆-DMSO seems to be a good model for taxol in water. This is corroborated by the fact that we observe a nuclear Overhauser effect between the o - and/or m-Ph protons and the acetyl group OAc4, at least in the d_6 -DMSO-D₂O, 7 : 3 (v/v) sample.

SDS-micelfes. In this solvent system also, no very important chemical shift changes relative to those observed in DMSO-solution were observed. Assignments follow the same pattern as previously and are straightforward.

The NOESY-spectra display nuclear Overhauser effects similar to those observed for the other aqueous solutions. HlO (6.28 ppm) interacts with the Mel8 methyl protons (1.75 ppm). H13 shows a NOESY-crosspeak with the bridgehead-methyl Me17, while H2 is correlated with both Mel6 and Me19. Here, too, we observe a nuclear Overhauser effect between the acetate group OAc4 at 2.09 ppm and the o - and/or *m*-phenyl protons at 7.38 ppm.

Molecular Modelling. Computational investigation of the complete taxol structure reveals greater energetic differences among the side chain conformational types. Thus, subsets of the above side chain methyl ester conformational categories are found in the lowest $2 \text{ kcal} \cdot \text{mol}^{-1}$ ranges of the chloroform and water conformer ensembles. These are summarized in Tables 8 and 9. Again, well defined torsion angles about the N-C3' bond are neither apparent computationally, nor obvious from the $J_{\text{NH, HY}}$ data.

> <N-C3'-C2'-O> |<O-C2'-C1'=O> |<C1'-O-C13-C12>| calcd.* J_{H2'-H3}. | relative energy **[den]** conformer [deg] **den**] [deg] **l** [deg] **l** [Hz] **[kcal-mol⁻¹] 20 -167 -158 2.7 0 21** 22 **| -59 | 11 | -149 | 1.0 | 0.9** $\frac{23}{24}$ **24** | 48 | 177 | -162 | 10.6 | 1.8 **-84 -60 -59 178 48**

Table 8. Chloroform Conformational Types for Taxol.

The couplings constants were calculated with the Karplus relationship implemented in **MacmModel.**

Table 9. Water Conformational Types for Taxol.

^a The couplings constants were calculated with the Karplus relationship implemented in **MacroModel.**

Among the fundamental chloroform conformers in Table 8, 24, which has a large dI-C2'-C3'-H> tomion angle, may be eliminated on the basis of the small JH~LW value detected in this solvent. The remaining chloroform conformers are more or less equally consistent with $J_{H2'-H3'}$. Conformer 22 is analogous to the Taxotere^{Φ} solid state structure, which has recently been proposed^{8d} on the basis of time dependent nOe data to be the dominant taxol conformer in chloroform solution. An independent VCD study of taxol in chloroform concurs, but identifies a conformer like 23 as a less populated but significant contributor to the taxol conformational equilibrium in that medium. Conformers 20 and 21 were rejected in the VCD study.¹⁹

The NMR and computational data for taxol in aqueous media are striking. The $J_{H2' - H3'}$ values of ~8 Hz observed in aqueous d₆-DMSO (and neat d₆-DMSO and SDS-micelles) point to the dominant contribution of conformers with large $\leq H-C2'-C3'-H$ torsion angles. Furthermore, the computational data reveal that a $\langle H - C2 \rangle - C3 \rangle$ -H $>$ torsion angle near 180 $^{\circ}$ (i.e., conformer 27) is highly correlated among the low energy taxol water conformers with the torsions about C1'-C2' and O-C13 indicated for 27 in Table 9. Together this information specifies conformationaI type 27 as the dominant one in these media. Conformer 27 is consistent with the nOe's observed for the H2'/OAc4 and Ph3'/OAc4 pairs. Of the remaining low energy conformational types identified by molecular modeling (global minimum 25, 26 and 28) that would possess low values for $J_{H2^{\prime}+H3^{\prime}}$ and thus might be expected to be minor equilibrium contributors in aqueous medium, 25 and 26 show close contacts betweeen Ph3' and OAc4, and 28 shows a close contact between H2' and OAc4. Conformer 25 is, again, much like the Taxotere® solid state structure.

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DISCUSSION

Changes in tax01 conformation due to solvent effects occur mainly in the A-ring side chain, since the diterpenoid portion of the structure is far less flexible. The major conformational changes which the diterpenoid portion undergoes occur in the B ring. For example, J_{H2-H3} varies from 7.2 Hz in CDC13, d_6 -DMSO, and water- d_6 -DMSO to 5.6 Hz in SDS-micelles, indicating a change in the <H-C2-C3-H> torsion angle from approximately 142° to 152°.

Much more substantial solvent-induced conformational changes are observed for the side chain as recorded by the $J_{H2' H3'}$ data. The spectrum of taxol in chloroform exhibits a value for this coupling constant of 2.7 Hz. That no nOe's between the side chain and ring system protons are observed in chloroform20 is qualitatively consistent with the absence of strong interactions between these two moieties in non-globular conformations. In contrast, in aqueous and &-DMSO solutions the spectrum is characterized by a $J_{H2'}.$ H $_{3'}$ of approximately 8 Hz, indicative of a profoundly different side chain conformational ensemble. Furthermore, the nuclear Overhauser effects shown by taxol in these solvents between the o- and/or m-3'-phenyl protons and OAc4, and H2' and OAc4 implicate conformations in which the side chain and OAc4 - well separated covalently - are spatially proximate in more globular structures. Nevertheless, the two respective major conformations identified - 22 in chloroform and 27 in aqueous medium - cannot be neatly classified in these terms. The most obvious difference between the two is the greater exposure to solvent of the hydrophobic 3'-phenyl group in chloroform conformer 22, and the greater exposure of the polar side chain benzamide group in water conformer 27.

The coupling constants $J_{H2',H3'}$ in taxol and 4 in chloroform are very similar in magnitude but in aqueous medium and neat d_6 -DMSO are divergent (approximately 8 Hz for taxol and 5 Hz for 4). Evidently in the latter media, the diterpenoid core of tax01 discriminates effectively between H2'/H3' gauche conformations 25,26 and 28, and H2'/H3' *anti* conformation 27.

With these data in hand for both hydrophobic and hydrophilic solvent systems, we are now able to probe the conformational perturbation that might obtain when taxol binds to microtubules.

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